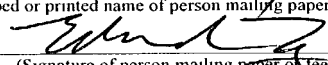


10/049331  
JC10 Rec'd PCT/PTO 11 FEB 2002

FORM PTO-1390 (REV 12-2001)		U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>681008-2000</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U S APPLICATION NO (If known see 37 C.F.R. 1.5) <b>10/049331</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP01/06706</b>	INTERNATIONAL FILING DATE <b>13 JUNE 2001</b>	PRIORITY DATE CLAIMED <b>13 JUNE 2000</b>	
TITLE OF INVENTION <b>SUPPORT MATRIX FOR COMMUNICATION AND METHOD USED TO OPERATE A TRADING CENTRE BY MEANS OF THE SAME</b>			
APPLICANT(S) FOR DO/EO/US <b>Frank WIECZOREK</b>			
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11 to 20 below concern document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>PCT/ISA/203, 4 sheets of drawings</b>			
		<b>EXPRESS MAIL</b> Mailing Label Number: <b>EL861105735US</b> Date of Deposit: <b>February 11, 2002</b> I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231 <i>Edward Nay</i> (Typed or printed name of person mailing paper or fee)  (Signature of person mailing paper or fee)	

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U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.50) <div style="font-size: 24pt; font-weight: bold; margin-left: 40px;">907049331</div>	INTERNATIONAL APPLICATION NO. <b>PCT/EP01/06706</b>	ATTORNEY'S DOCKET NO <b>681008-2000</b>
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21. <input checked="" type="checkbox"/> The following fees are submitted	<b>CALCULATIONS PTO USE ONLY</b>																																										
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO. . . . . \$1040 00  International preliminary examination fee (37 C F R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1 445(a)(2)) paid to USPTO . . . . . \$740 00  International preliminary examination fee paid to USPTO (37 CFR 1 482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1 482) and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . . \$100 00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></td> <td style="width: 40%; text-align: right;"><b>\$ 890.00</b></td> </tr> <tr> <td>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td style="text-align: right;">\$</td> </tr> <tr> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">17 - 20 =</td> <td style="text-align: center;">0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">2 - 3 =</td> <td style="text-align: center;">6</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table> </td> <td style="text-align: right;"> <b>\$ 000.00</b>  <b>\$ 504.00</b>  <b>\$ 0.00</b> </td> </tr> <tr> <td><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: right;"><b>\$1,394.00</b></td> </tr> <tr> <td><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2. . . . . +</td> <td style="text-align: right;"><b>\$ 697.00</b></td> </tr> <tr> <td style="text-align: right;"><b>SUBTOTAL =</b></td> <td style="text-align: right;"><b>\$ 697.00</b></td> </tr> <tr> <td>Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: right;"><b>\$ 697.00</b></td> </tr> <tr> <td>Fee for recording the enclosed assignments (37 CFR 1 21(h)). 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a. <input checked="" type="checkbox"/> A check in the amount of <b>\$697.00</b> to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>50-0320</b> . A duplicate copy of this sheet is enclosed.  d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.																																											

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**John R. Lane, Esq.**  
**Frommer Lawrence & Haug LLP**  
**745 Fifth Avenue**  
**New York, New York 10151**

16710

SIGNATURE

John R. Lane

NAME

35,582

REGISTRATION NUMBER

# TRANSLATION ACES

29 Broadway ♦ Suite 2301

New York, NY 10006-3279

Tel. (212) 269-4660 ♦ Fax (212) 269-4662



[Translation from German]

## (12) INTERNATIONAL APPLICATION PUBLISHED IN ACCORDANCE WITH THE INTERNATIONAL PATENT COOPERATION TREATY (PCT)

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| (19) | <b>World Intellectual Property Organization</b> International Office             | [Logo:]<br><b>WIPO/OMPI</b>   | [bar code]   |
| (43) | <b>International Publication Date:</b><br>20 DEC 2001 (12/20/2001)               | <b>PCT</b>  | (10) <b>International Publication No.</b><br><b>WO 01/97103 A2</b> |
| (51) | <b>International Patent Classification<sup>7</sup>:</b><br>G06F 17/60            | (74) <b>Attorneys:</b> WEBER-BRULS, Dorothee etc.;<br>Boehmert & Boehmert, Hollerallee 32, 28209<br>Bremen (DE)   |  |
| (21) | <b>International Serial No.:</b><br>PCT/EP01/06706                               | (81) <b>Signatory Countries (national):</b> JP, US.   |  |
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| (30) | <b>Priority Information:</b><br>100 28 187.1 June 13, 2000 (06/13/2000) DE       | For explanation of the two-letter codes and other<br>abbreviations, please refer to the explanations given at the<br>beginning of each regular issue of the PCT Gazette<br>("Guidance Notes on Codes and Abbreviations"). |  |
| (71) | <b>Applicant and</b>   |   |  |
| (72) | <b>Inventor:</b> WIECZOREK, Frank [DE/DE];<br>Birkenweg 9, 40670 Meerbusch (DE). |   |  |

(54) **Title:** SUPPORT MATRIX FOR COMMUNICATION AND METHOD USED TO OPERATE A  
TRADING CENTRE BY MEANS OF THE SAME

(54) **[German] Title:** MATRIX ZUR UNTERSTÜTZUNG EINER KOMMUNIKATION UND DIESE  
VERWENDENDEN VERFAHREN ZUM BETREIBEN EINES HANDELSPLATZES

(57) **Abstract:**

(57) **[German] Abstract:**

10014472, 10014472

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## AFFIDAVIT OF ACCURACY

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK )

I, the undersigned, being duly sworn, depose and state:

I am qualified to translate from the German language into the English language by virtue of being thoroughly conversant with these languages and, furthermore, having translated professionally from German into English for more than 10 years;

I have carefully read the translation appearing on the attached and said translation is an accurate, true and complete rendition into English from the original German-language text, and nothing has been added thereto or omitted therefrom, to the best of my knowledge and belief.

A handwritten signature in cursive script, reading "Golriz Buzman", written over a horizontal line.

TRANSLATION ACES, INC.

Subscribed and sworn to before me

this 5th day of June, 2002.

A handwritten signature in cursive script, reading "Karyn L. Tasens", written over a horizontal line.

KARYN L. TASENS  
Notary Public, State of New York  
No. 31-4680695  
Qualified in New York County  
Commission Expires Oct. 31, 2002

